



PATENT 3940/3928B (CON)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 3940/3928B (CON)
Prior Application: 08/997,774 filed December 24, 1997 with a first
CPA filed November 21, 2000
Examiner: Hien Tran
Art Unit: 1764

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



FILING UNDER 37 CFR 1.53(b)

This is a request for filing a Continuation application under 37 CFR 1.53(b), of pending prior application Serial No. 08/997,774 filed on December 24, 1997, of Chen, et al. for CATALYTIC CONVERTER SYSTEM FOR INTERNAL COMBUSTION ENGINE POWERED VEHICLES.

1. Copy of Prior Application as Filed is attached.

X I hereby verify that the attached papers are a true copy of what is shown in my records to be the above identified prior application, including the oath or declaration originally filed.

X The copy of the papers of prior application as filed which are attached are as follows:

29 pages of specification

4 pages of claims

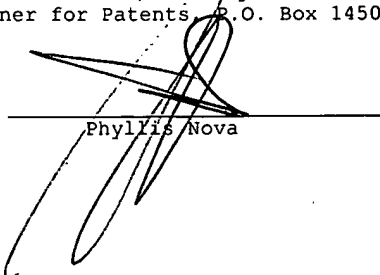
1 pages of abstract

2 pages of drawings

10 pages of declaration and power of attorney.

Certification under 37 CFR 1.10

I hereby certify that this 37 CFR 1.53(b) request and the documents referred to as attached therein are being deposited with the United States Postal Service on February 20, 2004 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Mailing Label Number EV328145357US addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Phyllis Nova

2. AMENDMENTS

A Preliminary Amendment is enclosed.

3. Fee Calculation (37 CFR 1.16) - Claims After Above Amendment

	Claims			Extra	Rate	Basic
	Filed					Fee
						\$770.00
Total Claims	30	-	20	10	x \$18.00	180.00
Independent Claims	1	-	3	0	x \$86.00	0
Multiple dependent						
Claims (if any, one fee for all)					x 290.00	<u>290.00</u>
				Total		\$1,240.00

4. DRAWINGS

2 Pages of formal drawings are enclosed.

5. RELATE BACK

X Amend the specification by inserting the following section in the first line, after the title:

"CROSS-REFERENCE TO RELATED APPLICATION

This is a continuation of co-pending application Serial Number 08/997,774 filed December 24, 1997 with a first CPA filed November 21, 2000, now abandoned."

6. ASSIGNMENT

X The prior application is assigned to ENGELHARD CORPORATION, and Assignment was recorded in the USPTO on February 10, 1998 under Reel/Frame 8963/0108.

7. INFORMATION DISCLOSURE STATEMENT

An Information Disclosure Statement is enclosed.

8. FEE PAYMENT BEING MADE AT THIS TIME

- X Basic Filing Fee \$1,240.00
X Please charge Account No. 05-1070 in the amount of \$1,240.00.
X A duplicate of this request is attached.

9. AUTHORIZATION TO CHARGE ADDITIONAL FEES

- X The Commissioner is hereby authorized to charge additional fees which may be required by this paper and during the entire pendency of the application to Account No. 05-1070.

10. EXPRESS ABANDONMENT

An Express Abandonment under 37 CFR 1.138 regarding serial number 08/997,774 is enclosed .

11. POWER OF ATTORNEY

The Power of Attorney in the prior application is to:

Gus T. Hampilos - Reg. No. 31,206
Raymond F. Keller - Reg. No. 28,960
Richard A. Negin - Reg. No. 28,649
Russell G. Lindenfeldar - Reg. No. 39,750
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Send Correspondence to:

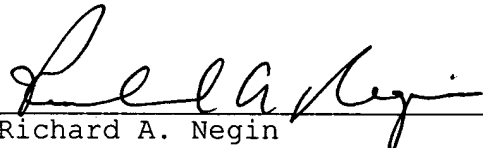
Chief Patent Counsel
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101 Wood Avenue
P.O. Box 770
Iselin, NJ 08830-0770

Direct Telephone Calls to:

Richard A. Negin
(732) 205-6241

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Richard A. Negin
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**EXPRESS ABANDONMENT
UNDER 37 CFR 1.138**

Send Completed form to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	08/997,774
Filing Date	December 24, 1997
First Named Inventor	Chen, Shau-Lin F.
Art Unit	1764
Examiner Name	Tran, Hien
Attorney Docket Number	3940/3928

Please check only one of boxes 1 or 2 below:

(If no box is checked, this paper will be treated as a request for express abandonment as of the filing date of this

1. ☐ **Express Abandonment**
I request that the above-identified application be expressly abandoned as of the filing date of this paper.
2. ☒ **Express Abandonment in favor of a Continuing Application**
I request that the above-identified application be expressly abandoned as of the filing date accorded the continuing application filed previously or herewith.

NOTE: A paper requesting express abandonment of an application is not effective unless and until an appropriate USPTO official recognizes and acts on the paper. See the Manual of Patent Examining Procedure (MPEP), section 711.01.

TO AVOID PUBLICATION, PLEASE USE FORM PTO/SB/24A INSTEAD OF THIS FORM.

- I am the: ☐ applicant.
- ☐ assignee of record of the entire interest. See 37 CFR 3.71
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ attorney or agent of record. Registration Number 28,649.
- ☐ attorney or agent acting under 37 CFR 1.34(a) (may act under 37 CFR 1.34(a) only if box 2 above, stating that the application is expressly abandoned in favor of a continuing application, is checked.) Attorney or agent registration number if acting under 37 CFR 1.34(a).

(Attorney or agent registration number)


Signature

February 20, 2004

Date

Richard A. Negin

732/205-6241

Typed or printed name

Telephone Number

Note: Signatures of all the inventors or assignees of record of the entire interest or their representatives(s) are required. Submit multiple forms if more than one signature is required. See below*.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.